

# Classical dynamics, arrow of time, and genesis of the Heisenberg commutation relations

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Mathematics of interacting QFT models  
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Perturbative AQFT led to a new constructive scheme for quantum physics

## Ingredients:

- classical systems, orbits in configuration space, Lagrangeans
- operations (perturbations of system), labelled by functionals on orbits (fixed by potentials, durations in time)
- arrow (direction) of time; entering into the microworld by order (succession) of operations

Result: dynamical  $C^*$ -algebra for given Lagrangean; commutation relations *etc* arise from its intrinsic structure.

New look at quantum physics; no *a priori* "quantization rules"

**This talk:** application of scheme to classical mechanics

## Notation:

$N$  particles in  $\mathbb{R}^s$ , equal masses, distinguishable

positions  $\mathbf{x} = (\mathbf{x}_1, \dots, \mathbf{x}_N) \in \mathbb{R}^{sN}$

continuous orbits  $\mathbf{x} : \mathbb{R} \rightarrow \mathbb{R}^{sN}$ , family denoted by  $\mathcal{C}$ ,

loops  $\mathbf{x}_0 : \mathbb{R} \rightarrow \mathbb{R}^{sN}$  with compact support, form vector space  $\mathcal{C}_0 \subset \mathcal{C}$ ,

velocities  $\dot{\mathbf{x}} : \mathbb{R} \rightarrow \mathbb{R}^{sN}$

## Perturbations:

(given by potentials, time dependencies) are described by space  $\mathcal{F}$  of functionals  $F : \mathcal{C} \rightarrow \mathbb{R}$

$$F[\mathbf{x}] \doteq \int dt F(t, \mathbf{x}(t))$$
$$t, \mathbf{x} \mapsto F(t, \mathbf{x}) = \mathbf{f}_0(t) \cdot \mathbf{x} + \sum_k g_k(t) V_k(\mathbf{x})$$

where  $\mathbf{f}_0 \in \mathcal{C}_0$ ,  $g_k \in \mathcal{D}(\mathbb{R}^s)$ ,  $V_k$  continuous, bounded

**Support** of  $F$ : union of supports of underlying test functions

**Shifts:**  $F \mapsto F^{\mathbf{x}_0}$ ,  $\mathbf{x}_0 \in \mathcal{C}_0$  given by  $\mathbf{x} \mapsto F^{\mathbf{x}_0}[\mathbf{x}] \doteq F[\mathbf{x} + \mathbf{x}_0]$

**Lagrangians:**

$$t \mapsto \mathcal{L}(\mathbf{x}(t)) \doteq (1/2) \dot{\mathbf{x}}(t)^2 - V(\mathbf{x}(t)), \quad \mathbf{x} \in \mathcal{C};$$

action  $\int dt \mathcal{L}(\mathbf{x}(t))$ ; relative action for loops  $\mathbf{x}_0 \in \mathcal{C}_0$ :

$$\delta \mathcal{L}(\mathbf{x}_0)[\mathbf{x}] = \int dt \chi(t) (\mathcal{L}(\mathbf{x}(t) + \mathbf{x}_0(t)) - \mathcal{L}(\mathbf{x}(t)))$$

with  $\chi \upharpoonright \text{supp } \mathbf{x}_0 = 1$  (**note:** element of  $\mathcal{F}$ , linear term  $\mathbf{x}$  appears)

Stationary points of action: Euler-Lagrange equation

$$\ddot{\mathbf{x}}(t) + \partial V(\mathbf{x}(t)) = 0$$

**Propagators:** “inverses” of  $K = -\frac{d^2}{dt^2}$  i.e.  $K\Delta_\bullet = \Delta_\bullet K = 1$

advanced  $\Delta_A$ , retarded  $\Delta_R$ , mean  $\Delta_D \doteq (1/2)(\Delta_A + \Delta_R)$

commutator function:  $\Delta \doteq \Delta_R - \Delta_A$ ,  $K\Delta = \Delta K = 0$

Step 1: given a Lagrangean  $\mathcal{L}$ , construct a dynamical group  $\mathcal{G}_{\mathcal{L}}$

**Definition:**  $\mathcal{G}_{\mathcal{L}}$  is the free group generated by symbols  $S_{\mathcal{L}}(F)$ ,  $F \in \mathcal{F}$ , modulo the relations

- (i)  $S_{\mathcal{L}}(F) = S_{\mathcal{L}}(F^{\mathbf{x}_0} + \delta\mathcal{L}(\mathbf{x}_0))$  for all  $F \in \mathcal{F}$ ,  $\mathbf{x}_0 \in \mathcal{C}_0$
- (ii)  $S_{\mathcal{L}}(F_1)S_{\mathcal{L}}(F_2) = S_{\mathcal{L}}(F_1 + F_2)$  whenever  $F_1$  has support in the future of  $F_2$

**Remarks:** the elements of  $\mathcal{G}_{\mathcal{L}}$  describe the effects of perturbations on the underlying system **without stipulating their concrete action**

- (i)  $F = 0$  implies  $S_{\mathcal{L}}(\delta\mathcal{L}(\mathbf{x}_0)) = S(0) = 1$  (Euler-Lagrange equation)
- (ii) constant functionals  $F_h : \mathcal{F} \rightarrow \mathfrak{h} \in \mathbb{R}$  have arbitrary support in time; thus  $S(F)S(F_h) = S(F + F_h) = S(F_h)S(F)$  (form central subgroup)

Step 2: proceed from  $\mathcal{G}_{\mathcal{L}}$  to  $*$ -algebra  $\mathcal{A}_{\mathcal{L}}$

sums:  $\sum cS$ ,  $c \in \mathbb{C}$ ,  $S \in \mathcal{G}_{\mathcal{L}}$  span  $\mathcal{A}_{\mathcal{L}}$

adjoints:  $(\sum cS)^* \doteq \sum \bar{c}S^{-1}$  ( $S$  unitary operators)

products: fixed by distributive law

fixing scale:  $S(F_h) = e^{ih} 1$ ,  $h \in \mathbb{R}$  (amounts to atomic units)

norm: algebra has faithful states and thus a (maximal)  $C^*$ -norm

**Definition:** Given  $\mathcal{L}$ , the corresponding dynamical algebra  $\mathcal{A}_{\mathcal{L}}$  is the  $C^*$ -algebra determined by the dynamical group  $\mathcal{G}_{\mathcal{L}}$ .

**No** quantization conditions, functional integrals *etc* ; only classical concepts used (“common language”, cf. Bohr’s doctrine)

## Derivation of Heisenberg relations

Consider non-interacting Lagrangean

$$t \mapsto \mathcal{L}_0(\mathbf{x}(t)) = (1/2) \dot{\mathbf{x}}(t)^2$$

Simplest (linear) perturbations  $\langle \mathbf{f}_0, \mathbf{x} \rangle \doteq \int dt \mathbf{f}_0(t) \mathbf{x}(t)$

$$\mathbf{x} \mapsto F_{\mathbf{f}_0}[\mathbf{x}] \doteq \langle \mathbf{f}_0, \mathbf{x} \rangle + (1/2) \langle \mathbf{f}_0, \Delta_D \mathbf{f}_0 \rangle, \quad \mathbf{f}_0 \in \mathcal{C}_0$$

**Definition:**  $W(\mathbf{f}_0) \doteq S_{\mathcal{L}_0}(F_{\mathbf{f}_0})$ ,  $\mathbf{f}_0 \in \mathcal{C}_0$ .

### Theorem

$$(1) W(K\mathbf{x}_0) = 1, \quad \mathbf{x}_0 \in \mathcal{C}_0$$

$$(2) W(\mathbf{f}_0)W(\mathbf{g}_0) = e^{-(i/2)\langle \mathbf{f}_0, \Delta_D \mathbf{g}_0 \rangle} W(\mathbf{f}_0 + \mathbf{g}_0), \quad \mathbf{f}_0, \mathbf{g}_0 \in \mathcal{C}_0$$

Interpretation: Weyl operators  $W(\mathbf{x}_0) \doteq e^{i\langle \mathbf{x}_0, \mathbf{Q} \rangle}$ ,  $\mathbf{x}_0 \in \mathcal{C}_0$

(1) generators solutions of Heisenberg eq.:  $t \mapsto \mathbf{Q}(t) = \mathbf{Q} + t\dot{\mathbf{Q}}$

$$(2) [\mathbf{Q}_k, \dot{\mathbf{Q}}_l] = i\delta_{kl}1, \quad [\mathbf{Q}_k, \mathbf{Q}_l] = [\dot{\mathbf{Q}}_k, \dot{\mathbf{Q}}_l] = 0$$

Physics: operators of position  $\mathbf{Q}$  and momentum  $\mathbf{P} \doteq \dot{\mathbf{Q}}$

## Proofs:

(1) (dynamics)  $\mathbf{x}_0 \in \mathcal{C}_0$  recall:  $K = -\frac{d^2}{dt^2}$

$$\begin{aligned} \mathbf{x} \mapsto F_{K\mathbf{x}_0}[\mathbf{x}] &= \langle K\mathbf{x}_0, \mathbf{x} \rangle + (1/2)\langle K\mathbf{x}_0, \Delta_D K\mathbf{x}_0 \rangle \\ &= \langle \dot{\mathbf{x}}_0, \dot{\mathbf{x}} \rangle + (1/2)\langle \dot{\mathbf{x}}_0, \dot{\mathbf{x}}_0 \rangle = \delta\mathcal{L}_0(\mathbf{x}_0)[\mathbf{x}] \end{aligned}$$

hence  $S_{\mathcal{L}_0}(F_{K\mathbf{x}_0}) = S_{\mathcal{L}_0}(\delta\mathcal{L}_0(\mathbf{x}_0)) = S_{\mathcal{L}_0}(0) = 1$ ;

similarly  $S_{\mathcal{L}_0}(F_{\mathbf{f}_0+K\mathbf{x}_0}) = S_{\mathcal{L}_0}(F_{\mathbf{f}_0})$

(2) (Weyl relations) Given  $\mathbf{f}_0, \mathbf{g}_0$ , let  $\mathbf{f}_0 + K\mathbf{x}_0$  be later than  $\mathbf{g}_0$ . Then  $S_{\mathcal{L}_0}(F_{\mathbf{f}_0})S_{\mathcal{L}_0}(F_{\mathbf{g}_0}) = S_{\mathcal{L}_0}(F_{\mathbf{f}_0+K\mathbf{x}_0})S_{\mathcal{L}_0}(F_{\mathbf{g}_0}) = S_{\mathcal{L}_0}(F_{\mathbf{f}_0+K\mathbf{x}_0} + F_{\mathbf{g}_0})$ .

Linearity of  $F_{\mathbf{f}}[\mathbf{x}]$  with regard to  $\mathbf{x}$  implies

$$\begin{aligned} S_{\mathcal{L}_0}(F_{\mathbf{f}_0+K\mathbf{x}_0} + F_{\mathbf{g}_0}) &= S_{\mathcal{L}_0}(F_{\mathbf{f}_0+K\mathbf{x}_0+\mathbf{g}_0} + F_{\mathbf{h}_{\mathbf{f}_0+K\mathbf{x}_0,\mathbf{g}_0}}) \\ &= e^{i\mathbf{h}_{\mathbf{f}_0+K\mathbf{x}_0,\mathbf{g}_0}} S_{\mathcal{L}_0}(F_{\mathbf{f}_0+K\mathbf{x}_0+\mathbf{g}_0}) = e^{i\mathbf{h}_{\mathbf{f}_0+K\mathbf{x}_0,\mathbf{g}_0}} S_{\mathcal{L}_0}(F_{\mathbf{f}_0+\mathbf{g}_0}) \end{aligned}$$

where

$$\mathbf{h}_{\mathbf{f}_0+K\mathbf{x}_0,\mathbf{g}_0} = \dots = -(1/2)\langle \mathbf{f}_0 + K\mathbf{x}_0, \Delta\mathbf{g}_0 \rangle = -(1/2)\langle \mathbf{f}_0, \Delta\mathbf{g}_0 \rangle. \quad \checkmark$$



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## Interacting theories

Change of Lagrangean (potentials  $V$  as before)

$$t \mapsto \mathcal{L}(\mathbf{x}(t)) = \mathcal{L}_0(\mathbf{x}(t)) - V(\mathbf{x}(t))$$

temporary perturbation ( $\chi$  smooth characteristic function)

$$t \mapsto \mathcal{L}_\chi(\mathbf{x}(t)) \doteq \mathcal{L}_0(\mathbf{x}(t)) - \underbrace{\chi(t)V(\mathbf{x}(t))}_{V_\chi(t, \mathbf{x}(t))}$$

**Definition:** (cf. relative scattering matrices)

$$S_{\mathcal{L}_\chi}(F) \doteq S_{\mathcal{L}_0}(-V_\chi)^{-1} S_{\mathcal{L}_0}(F - V_\chi) \in \mathcal{A}_{\mathcal{L}_0}, \quad F \in \mathcal{F}$$

Properties: (elementary computation)

$$S_{\mathcal{L}_\chi}(F^{\mathbf{x}_0} + \delta\mathcal{L}_\chi(\mathbf{x}_0)) = S_{\mathcal{L}_\chi}(F) \quad \text{(i)}$$

$$S_{\mathcal{L}_\chi}(F_1)S_{\mathcal{L}_\chi}(F_2) = S_{\mathcal{L}_\chi}(F_1 + F_2) \quad \text{if } F_1 \text{ is later than } F_2 \quad \text{(ii)}$$

**Conclusion:** defining relations for dynamical algebra  $\mathcal{A}_{\mathcal{L}_\chi} \simeq \mathcal{A}_{\mathcal{L}_0}$

**Goal:** limit  $\chi \rightarrow 1$  (global dynamics)

Note: Let  $\mathbb{I} \subset \mathbb{R}$  and  $\chi \upharpoonright \mathbb{I} = 1$ , then  $\delta\mathcal{L}_\chi(\mathbf{x}_0) = \delta\mathcal{L}(\mathbf{x}_0)$  if  $\mathbf{x}_0 \in \mathcal{C}_0(\mathbb{I})$

**Definition:**  $\mathcal{A}_{\mathcal{L}_\chi}(\mathbb{I})$  algebra generated by  $S_{\mathcal{L}_\chi}(F)$ ,  $F \in \mathcal{F}(\mathbb{I})$ .

Observation:  $\mathcal{A}_{\mathcal{L}_\chi}(\mathbb{I}) \simeq \mathcal{A}_{\mathcal{L}}(\mathbb{I})$  and algebras  $\mathcal{A}_{\mathcal{L}_\chi}(\mathbb{I})$  for different  $\chi$  are related by inner automorphisms of  $\mathcal{A}_{\mathcal{L}_0}$

**Detailed analysis:** for increasing intervals  $\mathbb{I}_n$  and functions  $\chi_n$  there exist injective homomorphisms  $\beta_n : \mathcal{A}_{\mathcal{L}}(\mathbb{I}_n) \rightarrow \mathcal{A}_{\mathcal{L}_0}(\mathbb{I}_{n+1})$  such that

$$\gamma \doteq \lim_n \beta_n$$

point-wise in norm on  $\mathcal{A}_{\mathcal{L}} = \overline{\bigcup_{\mathbb{I}} \mathcal{A}_{\mathcal{L}}(\mathbb{I})}$ .

## Theorem

Let  $\mathcal{L}_0, \mathcal{L}$  be Lagrangeans. There exist monomorphisms  $\gamma : \mathcal{A}_{\mathcal{L}} \rightarrow \mathcal{A}_{\mathcal{L}_0}$  such that  $\gamma(\mathcal{A}_{\mathcal{L}}(\mathbb{I})) \subset \mathcal{A}_{\mathcal{L}_0}(\widehat{\mathbb{I}})$  for any  $\mathbb{I}$  and bounded  $\widehat{\mathbb{I}} \supset \mathbb{I}$ .

# Representations

Consider Schrödinger representation of  $\mathbf{Q}, \mathbf{P}$  on  $\mathcal{H}_S$  with dynamics  $\mathcal{L}_0$   
Claim: operators  $S(F)$  are represented by time ordered exponentials.

**Problem:** For  $F \in \mathcal{F}$ , determine  $T(F) \doteq T e^{i \int_{-\infty}^{\infty} dt F(\mathbf{Q}+t\mathbf{P})}$

- bounded functionals  $F_b$ : Dyson expansion

$$T(F_b) = 1 + \sum_k i^k \int_{-\infty}^{\infty} dt_1 \dots \int_{-\infty}^{t_{k-1}} dt_k F_b(\mathbf{Q} + t_1 \mathbf{P}_1) \dots F_b(\mathbf{Q} + t_k \mathbf{P}_1)$$

- linear (**unbounded**)  $L$ : solution of linear differential equation

$$T(L_{\mathbf{f}_0}) = e^{i \int dt \mathbf{f}_0(t)(\mathbf{Q}+t\mathbf{P})} e^{-(i/2)\langle \mathbf{f}_0, \Delta_D \mathbf{f}_0 \rangle} = W(\mathbf{f}_0) e^{-(i/2)\langle \mathbf{f}_0, \Delta_D \mathbf{f}_0 \rangle}$$

- combination:  $\bar{T}(F_b + L_{\mathbf{f}_0}) \doteq T(F_b^{-\Delta_A \mathbf{f}_0}) T(L_{\mathbf{f}_0})$

Ansatz based on results of structural analysis; it has all required properties

**Proof:** E.g. “dynamical relation” for bounded functionals  $F_b$

$$\begin{aligned} \overline{T}(F_b^{\mathbf{x}_0} + \delta\mathcal{L}_0(\mathbf{x}_0)) &= \overline{T}(F_b^{\mathbf{x}_0} + F_{K\mathbf{x}_0}) = \overline{T}(\overbrace{F_b^{\mathbf{x}_0} + F_h + L_{K\mathbf{x}_0}}) \\ &= T(F_b^{\mathbf{x}_0 - \Delta_A K\mathbf{x}_0}) e^{i\hbar} T(L_{K\mathbf{x}_0}) = \underbrace{T(F_b^{\mathbf{x}_0 - \Delta_A K\mathbf{x}_0})}_{F_b} \underbrace{T(L_{K\mathbf{x}_0})}_1 = \overline{T}(F_b) \quad \checkmark \end{aligned}$$

**Definition:** Representation  $(\pi_S, \mathcal{H}_S)$  of  $\mathcal{A}_{\mathcal{L}_0}$  fixed by putting

$$\pi_S(\mathcal{S}_{\mathcal{L}_0}(F)) \doteq \overline{T}(F), \quad F \in \mathcal{F}.$$

Other algebras  $\mathcal{A}_{\mathcal{L}}$  are represented by  $(\pi, \mathcal{H}_S)$ , where  $\pi \doteq \pi_S \circ \gamma$

## Theorem

- (i) The representations  $(\pi, \mathcal{H}_S)$  of  $\mathcal{A}_{\mathcal{L}}$  are “regular” and irreducible
- (ii) This holds also true for  $\pi \upharpoonright \mathcal{A}_{\mathcal{L}}(\mathbb{I})$  for any finite interval  $\mathbb{I}$

**Task:** Using only operations, (i) compute probability that a state has the property described by a projection  $E$  and (ii) determine properties of the state after the operation.

**Definition:** Let  $(\pi, \mathcal{H})$  be irreducible representation of  $\mathcal{A}_{\mathcal{L}}$ , let  $\Omega \in \mathcal{H}$ , and consider vector state  $\omega(\cdot) = \langle \Omega, \pi(\cdot)\Omega \rangle$  on  $\mathcal{A}_{\mathcal{L}}$ . The operations  $S \in \mathcal{A}_{\mathcal{L}}$  induce maps  $\omega \mapsto \omega_S \doteq \omega \circ \text{Ad } S^{-1}$

**Transition probability:** (“fidelity of operation”)

$$\omega \cdot \omega_S \doteq |\langle \Omega, \pi(S)\Omega \rangle|^2 = |\omega(S)|^2$$

### Theorem

*Let  $\mathcal{H}_N \subset \mathcal{H}$  be finite dimensional, let  $E$  be infinite projection, and let  $\varepsilon > 0$ . There exists unitary operator  $S_\varepsilon \in \mathcal{A}_{\mathcal{L}}$  such that for any  $\Omega \in \mathcal{H}_N$*

$$|\omega \cdot \omega_{S_\varepsilon} - \omega(E)|^2 < \varepsilon, \quad \omega_{S_\varepsilon}(1 - E) < \varepsilon.$$

Note: **no** collapse of wave functions (Lüders, von Neumann); suitable operations determine “primitive observables” [DB, E. Størmer]

# Conclusions

New look at quantum mechanics, based on classical concepts

- system: configuration space, orbits, Lagrangean
- operations: perturbations of system (with or without observer)
- time: directed; its arrow matters already in microphysics

Effect of operations on system

- described by dynamical group (composition of operations)
- extension to  $C^*$ -algebra standard procedure
- no quantization rules; non-commutativity due to arrow of time

Consequences

- commutation relations, familiar framework recovered
- representation theory based on time ordered products
- statistical interpretation can be deduced from operations

Approach works also in QFT

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