

Index theorems for hyperbolic operators and particle creation

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York, LQP meeting
06. April 2017

The Gauß-Bonnet Theorem

Theorem (C.F. Gauß, P.O. Bonnet, 1827–1848)

$$\frac{1}{2\pi} \int_S K(x) dx = \chi(S)$$



The Gauß-Bonnet Theorem

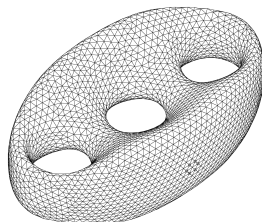
Theorem (C.F. Gauß, P.O. Bonnet, 1827–1848)

$$\frac{1}{2\pi} \int_S K(x) dx = \chi(S)$$



Here $\chi(S)$ is the **Euler-number** of S :

$$\chi(S) = \#\text{triangles} - \#\text{edges} + \#\text{vertices} = -2g + 2$$



The Gauß-Bonnet-Chern Theorem

For curved spaces M of higher dimension n (Riemannian manifolds).

Theorem (C.F. Gauß, P.O. Bonnet, S.-S. Chern, 1945)

$$(2\pi)^{-n/2} \int_M \text{Pf}(\Omega) = \chi(M)$$



Hodge-deRham-Theorie

exterior differential

$$d : C^\infty(M, \Lambda^k) \rightarrow C^\infty(M, \Lambda^{k+1})$$

codifferential:

$$\delta : C^\infty(M, \Lambda^k) \rightarrow C^\infty(M, \Lambda^{k-1})$$

together they form the **Euler-operator**:

$$d + \delta : C^\infty(M, \Lambda^{\text{even}}) \rightarrow C^\infty(M, \Lambda^{\text{odd}})$$



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Therefore:

$$(2\pi)^{-n/2} \int_M \text{Pf}(\Omega) = \text{ind}(d + \delta)$$



Atiyah-Singer Index Theorem

- M closed Riemannian manifold,
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basic example (if a spin structure is given):

Dirac-Operator $D : C^\infty(M, S^+M) \rightarrow C^\infty(M, S^-M)$

$$D = \sum_{j=1}^n \gamma(e_j) \nabla_{e_j}$$



Atiyah-Singer Index Theorem

Theorem (M. Atiyah, I. Singer, 1968)

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Example Dirac-Operator

$$\text{ind}(D) = \int_M \hat{A}(\Omega) \wedge \text{ch}(E)$$

E is a twist bundle.

Dimension 4

The Atiyah-Singer integrand in dimension 4 (twisted case):

$$\hat{A} \wedge \text{ch}(E)(x) = \frac{1}{(2\pi)^2} \left(\text{tr}(F^2) + \frac{1}{48} \text{tr}(R^2) \right).$$

$$\text{tr}(F^2) = \frac{1}{4} \epsilon^{abcd} \text{tr}(F_{ab} F_{cd}),$$

similarly for R .

Spectral Boundary Conditions

If $\partial M \neq \emptyset$ we need boundary conditions.

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$r : M \rightarrow \mathbb{R}$ and write

$$D = \gamma \left(\frac{\partial}{\partial r} + A_r \right)$$

A_0 is an elliptic self-adjoint operator on ∂M .

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APS-boundary conditions:

$$P_+(f|_{\partial M}) = 0$$

Atiyah-Patodi-Singer Index Theorem

Theorem (M. Atiyah, V. Patodi, I. Singer, 1975)

imposing APS boundary conditions we have



$$\begin{aligned} \text{ind}(D) = & \int_M \text{alg. expr.}(\Omega) \\ & + \int_{\partial M} \text{alg. expr.}(\Omega, 2. \text{ FF}) - \frac{h(A_0) + \eta(A_0)}{2} \end{aligned}$$

where



- $h(A) = \dim \ker(A)$
- $\eta(A) = \eta_A(0)$, and $\eta_A(s) = \sum_{\substack{\lambda \in \text{spec}(A) \\ \lambda \neq 0}} \text{sign}(\lambda) \cdot |\lambda|^{-s}$

Lorentzian Manifolds

Replace „space “ by „space-times “,
that is Riemannian by **Lorentzian** manifolds.

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	model	signature	natural op.	Dirac-op.
	Euclid	positive definite	Laplace $\sum_{j=1}^n \partial_j^2$	elliptic
	Minkowski	$(n - 1, 1)$	d'Alembert $-\partial_1^2 + \sum_{j=2}^n \partial_j^2$	hyperbolic

Closedness?

Problem 1: compact Lorentzian manifolds (without boundary)
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 \Rightarrow not suitable for models in GR

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⇒ not suitable for models in GR

Problem 2: hyperbolic PDE-Theory does not work on such space-times
⇒ no Lorentzian analog of the Atiyah-Singer Theorem.

The Lorentzian Index Theorem

Let M be a compact globally hyperbolic space-time
with boundary $\partial M = \Sigma_1 \sqcup \Sigma_2$

Σ_j smooth spacelike Cauchy surfaces

D Dirac-Operator

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D Dirac-Operator

Theorem (A. S. , C. Bär, 2015)

With APS-boundary conditions D is a Fredholm operator. Its kernel consists of smooth spinors and one has



$$\text{ind}(D) = \int_M \hat{A}(\Omega) + \int_{\partial M} T\hat{A}(\Omega, 2. FF)$$

$$= \frac{h(A_1) + h(A_2) + \eta(A_1) - \eta(A_2)}{2}$$

Physical Interpretation: One particle picture

Wave Evolution Operator $Q : C^\infty(\Sigma_1) \rightarrow C^\infty(\Sigma_2)$:

For $\varphi \in C^\infty(\Sigma_1)$ solve $D\Phi = 0$ with initial condition $\Phi|_{\Sigma_1} = \varphi$.

Then $Q\varphi = \Phi|_{\Sigma_2}$.

Q extends to a unitary operator $L^2(\Sigma_1) \rightarrow L^2(\Sigma_2)$.

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Decompose

$$Q = \begin{pmatrix} Q_{++} & Q_{+-} \\ Q_{-+} & Q_{--} \end{pmatrix}$$

wr.t. the splitting

$$L^2(\Sigma_1) = L^2_{[0,\infty)}(\Sigma_1) \oplus L^2_{(-\infty,0)}(\Sigma_1),$$

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Then we have

$$\text{ind}(D) = \dim \ker(Q_{--}) - \dim \ker(Q_{++})$$

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Shale-Stinespring: Q is implementable if Q_{+-} is Hilbert-Schmidt (the case in dim 4).

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The sector of charge N is mapped to sector with charge $N + \text{ind}(D)$.

Normal ordering in Fock space depends on the state (i.e. on the Cauchy surface).

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- Gibbons ('79), Gibbons and Richer ('80), Lohiya ('83) in explicit models

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- Matsui ('90), Bunke and Hirschmann ('92), $\Sigma_1 = \Sigma_2$
- Delbourgo and Salam ('72) , Dowker ('78), Zahn ('15), non-conservation of axial current

Methods

- evolution operator Q is a Fourier Integral Operator
- compute principal symbol using the calculus by Duistermaat and Hörmander.

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- evolution operator Q is a Fourier Integral Operator
- compute principal symbol using the calculus by Duistermaat and Hörmander.
- define the appropriate function spaces using the theory of hyperbolic PDEs and wavefront sets
- use spectral flow and to transform to usual APS theorem
- Feynman parametrix constructed using a gluing construction

Thanks for your attention
& Happy Birthday

