

Non-Equilibrium Thermodynamics and Conformal Field Theory

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Based on a joint work with S. Hollands

and previous works with Bischoff, Kawahigashi, Rehren and Camassa, Tanimoto, Weiner

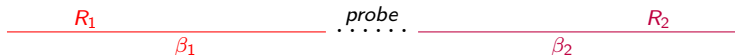
General frame

Non-equilibrium thermodynamics: study physical systems not in thermodynamic equilibrium but basically described by thermal equilibrium variables. Systems, in a sense, near equilibrium.

Non-equilibrium thermodynamics has been effectively studied for decades with important achievements, yet the general theory still missing. The framework is even more incomplete in the quantum case, *non-equilibrium quantum statistical mechanics*.

We aim provide a general, model independent scheme for the above situation in the context of quantum, two dimensional *Conformal Quantum Field Theory*. As we shall see, we provide the general picture for the evolution towards a *non-equilibrium steady state*.

A typical frame described by Non-Equilibrium Thermodynamics:



Two infinite reservoirs R_1 , R_2 in equilibrium at their own temperatures $T_1 = \beta_1^{-1}$, $T_2 = \beta_2^{-1}$, and possibly chemical potentials μ_1 , μ_2 , are set in contact, possibly inserting a probe.

As time evolves, the system should reach a non-equilibrium steady state.

This is the situation we want to analyse. As we shall see the *Operator Algebraic approach to CFT* provides a model independent description, in particular of the asymptotic steady state, and exact computation of the expectation values of the main physical quantities.

Thermal equilibrium states

Gibbs states

Finite system, \mathfrak{A} matrix algebra with Hamiltonian H and evolution $\tau_t = \text{Ade}^{itH}$. Equilibrium state φ at inverse temperature β given by

$$\varphi(X) = \frac{\text{Tr}(e^{-\beta H} X)}{\text{Tr}(e^{-\beta H})}$$

KMS states (Haag, Hugenholtz, Winnink)

Infinite volume, \mathfrak{A} a C^* -algebra, τ a one-par. automorphism group of \mathfrak{A} , \mathfrak{B} a dense $*$ -subalgebra. A state φ of \mathfrak{A} is KMS at inverse temperature $\beta > 0$ if for $X, Y \in \mathfrak{B} \exists F_{XY} \in A(S_\beta)$ s.t.

$$(a) F_{XY}(t) = \varphi(X\tau_t(Y))$$

$$(b) F_{XY}(t + i\beta) = \varphi(\tau_t(Y)X)$$

where $A(S_\beta)$ is the algebra of functions analytic in the strip $S_\beta = \{0 < \Im z < \beta\}$, bounded and continuous on the closure \bar{S}_β .

Non-equilibrium steady states

Non-equilibrium statistical mechanics:

A *non-equilibrium steady state* **NESS** φ of \mathfrak{A} satisfies property (a) in the KMS condition, for all X, Y in a dense $*$ -subalgebra of \mathfrak{B} , but not necessarily property (b).

For any X, Y in \mathfrak{B} the function

$$F_{XY}(t) = \varphi(X\tau_t(Y))$$

is the boundary value of a function holomorphic in S_β . (Ruelle)

Example: the tensor product of two KMS states at temperatures β_1, β_2 is a NESS with $\beta = \min(\beta_1, \beta_2)$.

Problem: describe the NESS state φ and show that the initial state ψ evolves towards φ

$$\lim_{t \rightarrow \infty} \psi \cdot \tau_t = \varphi$$

Möbius covariant nets (Haag-Kastler nets on S^1)

A local **Möbius covariant net** \mathcal{A} on S^1 is a map

$$I \in \mathcal{I} \rightarrow \mathcal{A}(I) \subset B(\mathcal{H})$$

$\mathcal{I} \equiv$ family of proper intervals of S^1 , that satisfies:

- ▶ **A. Isotony.** $I_1 \subset I_2 \implies \mathcal{A}(I_1) \subset \mathcal{A}(I_2)$
- ▶ **B. Locality.** $I_1 \cap I_2 = \emptyset \implies [\mathcal{A}(I_1), \mathcal{A}(I_2)] = \{0\}$
- ▶ **C. Möbius covariance.** \exists unitary rep. U of the Möbius group Möb on \mathcal{H} such that

$$U(g)\mathcal{A}(I)U(g)^* = \mathcal{A}(gI), \quad g \in \text{Möb}, I \in \mathcal{I}.$$

- ▶ **D. Positivity of the energy.** Generator L_0 of rotation subgroup of U (conformal Hamiltonian) is positive.
- ▶ **E. Existence of the vacuum.** $\exists!$ U -invariant vector $\Omega \in \mathcal{H}$ (vacuum vector), and Ω is cyclic for $\bigvee_{I \in \mathcal{I}} \mathcal{A}(I)$.

Consequences

- ▶ *Irreducibility*: $\bigvee_{I \in \mathcal{I}} \mathcal{A}(I) = B(H)$.
- ▶ *Reeh-Schlieder theorem*: Ω is cyclic and separating for each $\mathcal{A}(I)$.
- ▶ *Bisognano-Wichmann property*: Tomita-Takesaki modular operator Δ_I and conjugation J_I of $(\mathcal{A}(I), \Omega)$, are

$$\begin{aligned} U(\delta_I(2\pi t)) &= \Delta_I^{it}, \quad t \in \mathbb{R}, && \text{dilations} \\ U(r_I) &= J_I && \text{reflection} \end{aligned}$$

(Fröhlich-Gabbiani, Guido-L.)

- ▶ *Haag duality*: $\mathcal{A}(I)' = \mathcal{A}(I')$
- ▶ *Factoriality*: $\mathcal{A}(I)$ is III₁-factor (in Connes classification)
- ▶ *Additivity*: $I \subset \bigcup_i I_i \implies \mathcal{A}(I) \subset \bigvee_i \mathcal{A}(I_i)$ (Fredenhagen, Jorss).

Local conformal nets

$\text{Diff}(S^1) \equiv$ group of orientation-preserving smooth diffeomorphisms of S^1

$\text{Diff}_I(S^1) \equiv \{g \in \text{Diff}(S^1) : g(t) = t \ \forall t \in I'\}$.

A local conformal net \mathcal{A} is a Möbius covariant net s.t.

F. Conformal covariance. \exists a projective unitary representation U of $\text{Diff}(S^1)$ on \mathcal{H} extending the unitary representation of Möb s.t.

$$\begin{aligned}U(g)\mathcal{A}(I)U(g)^* &= \mathcal{A}(gI), \quad g \in \text{Diff}(S^1), \\U(g)xU(g)^* &= x, \quad x \in \mathcal{A}(I), \quad g \in \text{Diff}_{I'}(S^1),\end{aligned}$$

\longrightarrow unitary representation of the *Virasoro algebra*

$$[L_m, L_n] = (m - n)L_{m+n} + \frac{c}{12}(m^3 - m)\delta_{m,-n}$$

$$[L_n, c] = 0, \quad L_n^* = L_{-n}.$$

Representations

A (DHR) *representation* ρ of local conformal net \mathcal{A} on a Hilbert space \mathcal{H} is a map $I \in \mathcal{I} \mapsto \rho_I$, with ρ_I a normal rep. of $\mathcal{A}(I)$ on $B(\mathcal{H})$ s.t.

$$\rho_{\tilde{I}}|_{\mathcal{A}(I)} = \rho_I, \quad I \subset \tilde{I}, \quad I, \tilde{I} \subset \mathcal{I}.$$

ρ is diffeomorphism *covariant*: \exists a projective unitary representation U_ρ of $\text{Diff}(S^1)$ on \mathcal{H} such that

$$\rho_{gI}(U(g)xU(g)^*) = U_\rho(g)\rho_I(x)U_\rho(g)^*$$

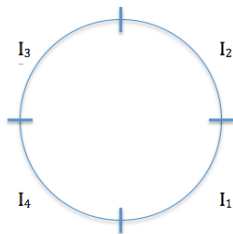
for all $I \in \mathcal{I}$, $x \in \mathcal{A}(I)$ and $g \in \text{Diff}(S^1)$.

Index-statistics relation (L.):

$$d(\rho) = \left[\rho_{I'}(\mathcal{A}(I'))' : \rho_I(\mathcal{A}(I)) \right]^{\frac{1}{2}}$$

$$\text{DHR dimension} = \sqrt{\text{Jones index}}$$

Complete rationality



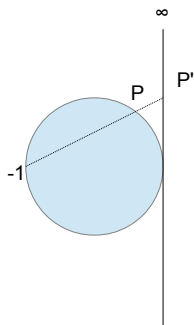
$$\mu_{\mathcal{A}} \equiv \left[(\mathcal{A}(I_1) \vee \mathcal{A}(I_3))' : (\mathcal{A}(I_2) \vee \mathcal{A}(I_4)) \right] < \infty$$

\implies

$$\mu_{\mathcal{A}} = \sum_i d(\rho_i)^2$$

\mathcal{A} is modular (Kawahigashi, Müger, L.)

Circle and real line picture



$$z \mapsto i \frac{z - 1}{z + 1}$$

We shall frequently switch between the two pictures.

KMS and Jones index

Kac-Wakimoto formula (conjecture)

Let \mathcal{A} be a conformal net, ρ representations of \mathcal{A} , then

$$\lim_{t \rightarrow 0^+} \frac{\text{Tr}(e^{-tL_{0,\rho}})}{\text{Tr}(e^{-tL_0})} = d(\rho)$$

Analog of the Kac-Wakimoto formula (theorem)

ρ a representation of \mathcal{A} :

$$(\xi, e^{-2\pi K_\rho} \xi) = d(\rho)$$

where K_ρ is the generator of the dilations δ_t and ξ is any vector cyclic for $\rho(\mathcal{A}(I'))$ such that $(\xi, \rho(\cdot)\xi)$ is the vacuum state on $\mathcal{A}(I')$.

$U(1)$ -current net

Let \mathcal{A} be the local conformal net on S^1 associated with the $U(1)$ -current algebra. In the real line picture \mathcal{A} is given by

$$\mathcal{A}(I) \equiv \{W(f) : f \in C_{\mathbb{R}}^{\infty}(\mathbb{R}), \text{supp } f \subset I\}''$$

where W is the representation of the Weyl commutation relations

$$W(f)W(g) = e^{-i \int fg'} W(f + g)$$

associated with the vacuum state ω

$$\omega(W(f)) \equiv e^{-\|f\|^2}, \quad \|f\|^2 \equiv \int_0^{\infty} p |\tilde{f}(p)|^2 dp$$

where \tilde{f} is the Fourier transform of f .

$$W(f) = \exp\left(-i \int f(x)j(x)dx\right)$$

$$[j(f), j(g)] = i \int fg'dx$$

There is a one parameter family $\{\gamma_q, q \in \mathbb{R}\}$ of irreducible sectors and all have index 1 (Buchholz, Mack, Todorov)

$$\gamma_q(W(f)) \equiv e^{i \int Ff} W(f), \quad F \in C^\infty, \quad \frac{1}{2\pi} \int F = q .$$

q is the called the charge of the sector.

A classification of KMS states (Camassa, Tanimoto, Weiner, L.)

How many KMS states do there exist?

Completely rational case

\mathcal{A} completely rational: only one KMS state (geometrically constructed) $\beta = 2\pi$

exp: net on \mathbb{R} $\mathcal{A} \rightarrow$ restriction of \mathcal{A} to \mathbb{R}^+

$$\exp \upharpoonright \mathcal{A}(I) = \text{Ad}U(\eta)$$

η diffeomorphism, $\eta \upharpoonright I = \text{exponential}$

geometric KMS state on $\mathcal{A}(\mathbb{R}) = \text{vacuum state on } \mathcal{A}(\mathbb{R}^+) \circ \exp$

$$\varphi_{\text{geo}} = \omega \circ \exp$$

Note: Scaling with dilation, we get the geometric KMS state at any give $\beta > 0$.

Comments

About the proof:

Essential use of the *thermal completion* and *Jones index*.

\mathcal{A} net on \mathbb{R} , φ KMS state:

In the GNS representation we apply Wiesbrock theorem

$$\mathcal{A}(\mathbb{R}^+) \subset \mathcal{A}(\mathbb{R}) \text{ hsm modular inclusion} \rightarrow \text{new net } \mathcal{A}_\varphi$$

Want to prove duality for \mathcal{A}_φ in the KMS state, but \mathcal{A}_φ satisfies duality up to finite Jones index.

Iteration of the procedure...

Conjecture: $\mathcal{A} \subset \mathcal{B}$ finite-index inclusion of conformal nets,
 $\varepsilon : \mathcal{B} \rightarrow \mathcal{A}$ conditional expectation. If φ is a translation KMS on \mathcal{A}
then $\varphi \circ \varepsilon$ is a translation KMS on \mathcal{B} .

Non-rational case: $U(1)$ -current model

The primary (locally normal) KMS states of the $U(1)$ -current net are in one-to-one correspondence with real numbers $q \in \mathbb{R}$; each state φ^q is uniquely determined by

$$\varphi^q(W(f)) = e^{iq \int f dx} \cdot e^{-\frac{1}{4} \|f\|_{S_\beta}^2}$$

where $\|f\|_{S_\beta}^2 = (f, S_\beta f)$ and $\widehat{S_\beta f}(p) := \coth \frac{\beta p}{2} \widehat{f}(p)$.

In other words:

Geometric KMS state: $\varphi_{\text{geo}} = \varphi^0$

Any primary KMS state:

$$\varphi^q = \varphi_{\text{geo}} \circ \gamma_q.$$

where γ_q is a BMT sector.

Virasoro net: $c = 1$

(With $c < 1$ there is only one KMS state: the net is completely rational)

Primary KMS states of the Vir_1 net are in one-to-one correspondence with positive real numbers $|q| \in \mathbb{R}^+$; each state $\varphi^{|q|}$ is uniquely determined by its value on the stress-energy tensor T :

$$\varphi^{|q|}(T(f)) = \left(\frac{\pi}{12\beta^2} + \frac{q^2}{2} \right) \int f dx.$$

The geometric KMS state corresponds to $q = 0$, and the corresponding value of the 'energy density' $\frac{\pi}{12\beta^2} + \frac{q^2}{2}$ is the lowest one in the set of the KMS states.

(We construct these KMS states by composing the geometric state with automorphisms on the larger $U(1)$ -current net.)

Virasoro net: $c > 1$

There is a set of primary (locally normal) KMS states of the Vir_c net with $c > 1$ w.r.t. translations in one-to-one correspondence with positive real numbers $|q| \in \mathbb{R}^+$; each state $\varphi^{|q|}$ can be evaluated on the stress-energy tensor

$$\varphi^{|q|}(T(f)) = \left(\frac{\pi}{12\beta^2} + \frac{q^2}{2} \right) \int f dx$$

and the geometric KMS state corresponds to $q = \frac{1}{\beta} \sqrt{\frac{\pi(c-1)}{6}}$ and energy density $\frac{\pi c}{12\beta^2}$.

Are they all? Probably yes...

Rotation KMS states: Recent work with Y. Tanimoto

Chemical potential

\mathcal{A} a local conformal net on \mathbb{R} (or on M) and φ an extremal β -KMS state on \mathfrak{A} w.r.t. the time translation group τ and ρ an irreducible DHR localized endomorphism of $\mathfrak{A} \equiv \overline{\cup_{I \subset \mathbb{R}} \mathcal{A}(I)}$ with finite dimension $d(\rho)$. Assume that ρ is normal, namely it extends to a normal endomorphism of the weak closure \mathcal{M} of \mathfrak{A} ; automatic e.g. if φ satisfies essential duality $\pi_\varphi(\mathfrak{A}(I_\pm))' \cap \mathcal{M} = \pi_\varphi((\mathfrak{A}(I_\mp))'')$, I_\pm the \pm half-line.

U time translation unitary covariance cocycle in \mathfrak{A} :

$$\text{Ad}U(t) \cdot \tau_t \cdot \rho = \rho \cdot \tau_t, \quad t \in \mathbb{R},$$

with $U(t+s) = U(t)\tau_t(U(s))$ (cocycle relation) (unique by a phase, canonical choice by Möb covariance).

U is equal up to a phase to a Connes Radon-Nikodym cocycle:

$$U(t) = e^{-i2\pi\mu_\rho(\varphi)t} d(\rho)^{-i\beta^{-1}t} (D\varphi \cdot \Phi_\rho : D\varphi)_{-\beta^{-1}t}.$$

$\mu_\rho(\varphi) \in \mathbb{R}$ is the *chemical potential* of φ w.r.t. the charge ρ .

Here Φ_ρ is the left inverse of ρ , $\Phi_\rho \cdot \rho = \text{id}$, so $\varphi \cdot \Phi_\rho$ is a KMS state in the sector ρ .

The geometric β -KMS state φ_0 has zero chemical potential.

By the holomorphic property of the Connes Radon-Nikodym cocycle:

$$e^{2\pi\beta\mu_\rho(\varphi)} = \text{anal. cont.}_{t \rightarrow i\beta} \varphi(U(t)) / \text{anal. cont.}_{t \rightarrow i\beta} \varphi_0(U(t)) .$$

Example, BMT sectors:

With $\varphi_{\beta,q}$ the β -state associated with the charge q , the chemical potential w.r.t. the charge q is given by

$$\mu_\rho(\varphi_{\beta,q}) = q\rho/\pi$$

2-dimensional CFT

$M = \mathbb{R}^2$ Minkowski plane.

$\begin{pmatrix} T_{00} & T_{10} \\ T_{01} & T_{11} \end{pmatrix}$ conserved and traceless stress-energy tensor.

As is well known, $T_L = \frac{1}{2}(T_{00} + T_{01})$ and $T_R = \frac{1}{2}(T_{00} - T_{01})$ are chiral fields,

$$T_L = T_L(t+x), \quad T_R = T_R(t-x).$$

Left and right movers.

Ψ_k family of conformal fields on M : T_{ij} + relatively local fields
 $\mathcal{O} = I \times J$ double cone, I, J intervals of the chiral lines $t \pm x = 0$

$$\mathcal{A}(\mathcal{O}) = \{e^{i\Psi_k(f)}, \text{supp}f \subset \mathcal{O}\}''$$

then by relative locality

$$\mathcal{A}(\mathcal{O}) \supset \mathcal{A}_L(I) \otimes \mathcal{A}_R(J)$$

$\mathcal{A}_L, \mathcal{A}_R$ chiral fields on $t \pm x = 0$ generated by T_L, T_R and other chiral fields

(completely) rational case: $\mathcal{A}_L(I) \otimes \mathcal{A}_R(J) \subset \mathcal{A}(\mathcal{O})$ finite Jones index

Phase boundaries (Bischoff, Kawahigashi, Rehren, L.)

$M_L \equiv \{(t, x) : x < 0\}$, $M_R \equiv \{(t, x) : x > 0\}$ left and right half Minkowski plane, with a CFT on each half.

Chiral components of the stress-energy tensor:

$$T_+^L(t+x), T_-^L(t-x), T_+^R(t+x), T_-^R(t-x).$$

Energy conservation at the boundary ($T_{01}^L(t, 0) = T_{01}^R(t, 0)$):

$$T_+^L(t) + T_-^R(t) = T_+^R(t) + T_-^L(t).$$

Transmissive solution:

$$T_+^L(t) = T_+^R(t), \quad T_-^L(t) = T_-^R(t).$$

A transparent phase boundary is given by specifying two local conformal nets \mathcal{B}^L and \mathcal{B}^R on $M_{L/R}$ on the same Hilbert space \mathcal{H} ;

$$M_L \supset O \mapsto \mathcal{B}^L(O); \quad M_R \supset O \mapsto \mathcal{B}^R(O),$$

\mathcal{B}^L and \mathcal{B}^R both contain a common chiral subnet $\mathcal{A} = \mathcal{A}_+ \otimes \mathcal{A}_-$. $\mathcal{B}^{L/R}$ extends on the entire M by covariance as the chiral nets \mathcal{A}_\pm on \mathbb{R} contain the Virasoro nets.

By causality:

$$[\mathcal{B}^L(O_2), \mathcal{B}^R(O_1)] = 0, \quad O_1 \subset M_L, \quad O_2 \subset M_R, \quad O_1 \subset O_2'$$

By diffeomorphism covariance, \mathcal{B}^R is thus right local with respect to \mathcal{B}^L

Given a phase boundary, we consider the von Neumann algebras generated by $\mathcal{B}^L(O)$ and $\mathcal{B}^R(O)$:

$$\mathcal{D}(O) \equiv \mathcal{B}^L(O) \vee \mathcal{B}^R(O), \quad O \in \mathcal{K}.$$

\mathcal{D} is another extension of \mathcal{A} , but \mathcal{D} is in general non-local, but relatively local w.r.t. \mathcal{A} . $\mathcal{D}(O)$ may have non-trivial center. In the completely rational case, $\mathcal{A}(O) \subset \mathcal{D}(O)$ has finite Jones index, so the center of $\mathcal{D}(O)$ is finite dimensional; by standard arguments, we may cut down the center to \mathbb{C} by a minimal projection of the center, and we may then assume $\mathcal{D}(O)$ to be a factor, as we will do for simplicity in the following.

The universal construction

A phase boundary is a transmissive boundary with chiral observables $\mathcal{A}_{2D} = \mathcal{A}_+ \otimes \mathcal{A}_-$. The phases on both sides of the boundary are given by a pair of Q-systems $A^L = (\Theta^L, W^L, X^L)$ and $A^R = (\Theta^R, W^R, X^R)$ in the sectors of \mathcal{A}_{2D} , describing local 2D extensions $\mathcal{A}_{2D} \subset \mathcal{B}_{2D}^L$ and $\mathcal{A}_{2D} \subset \mathcal{B}_{2D}^R$.

Now consider the braided product Q-systems (Evans, Pinto)

$$(\Theta = \Theta^L \circ \Theta^R, W = W^L \times W^R, X = (1 \times \epsilon_{\Theta^L, \Theta^R}^\pm \times 1) \circ (X^L \times X^R))$$

and the corresponding extensions $\mathcal{A}_{2D} \subset \mathcal{D}_{2D}^\pm$. The original extensions $\mathcal{B}_{2D}^L, \mathcal{B}_{2D}^R$ are intermediate

$$\mathcal{A}_{2D} \subset \mathcal{B}_{2D}^L \subset \mathcal{D}_{2D}^\pm \quad \mathcal{A}_{2D} \subset \mathcal{B}_{2D}^R \subset \mathcal{D}_{2D}^\pm,$$

and the nets \mathcal{D}_{2D}^\pm are generated by \mathcal{A}_{2D} and two sets of charged fields $\Psi_{\sigma \otimes \tau}^L$ ($\sigma \otimes \tau \prec \Theta^L$) and $\Psi_{\sigma \otimes \tau}^R$ ($\sigma \otimes \tau \prec \Theta^R$), suppressing possible multiplicity indices.

The braided product Q-system determines their commutation relations among each other:

$$\Psi_{\sigma \otimes \tau}^R \Psi_{\sigma' \otimes \tau'}^L = \epsilon_{\sigma' \otimes \tau', \sigma \otimes \tau}^{\pm} \cdot \Psi_{\sigma' \otimes \tau'}^L \Psi_{\sigma \otimes \tau}^R.$$

$\epsilon_{\sigma' \otimes \tau', \sigma \otimes \tau}^{-} = \mathbf{1}$ whenever $\sigma' \otimes \tau'$ is localized to the spacelike left of $\sigma \otimes \tau$. Thus, the choice of \pm -braiding ensures that \mathcal{B}^L is left-local w.r.t. \mathcal{B}^R , as required by causality. Thus

$$\Theta = (\Theta^L, W^L, X^L) \times^{-} (\Theta^R, W^R, X^R),$$

Universal construction:

The extension \mathcal{D} of \mathcal{A} defined by the above Q-system implements a transmissive boundary condition in the sense. It is universal in the sense that every irreducible boundary condition appears as a representation of \mathcal{D} .

Cf. the work of Fröhlich, Fuchs, Runkel, Schweigert (Euclidean setting)

Non-equilibrium in CFT (S. Hollands, R.L.)

Two local conformal nets \mathcal{B}^L and \mathcal{B}^R on the Minkowski plane M , both containing the same chiral net $\mathcal{A} = \mathcal{A}_+ \otimes \mathcal{A}_-$. For the moment $\mathcal{B}^{L/R}$ is completely rational, so the KMS state is unique, later we deal with chemical potentials.

Before contact. The two systems \mathcal{B}^L and \mathcal{B}^R are, separately, each in a thermal equilibrium state. KMS states $\varphi_{\beta_{L/R}}^{L/R}$ on $\mathfrak{B}^{L/R}$ at inverse temperature $\beta_{L/R}$ w.r.t. τ , possibly with $\beta_L \neq \beta_R$.

\mathcal{B}^L and \mathcal{B}^R live independently in their own half plane M_L and M_R and their own Hilbert space. The composite system on $M_L \cup M_R$ is given by

$$M_L \supset O \mapsto \mathcal{B}^L(O), \quad M_R \supset O \mapsto \mathcal{B}^R(O)$$

with C^* -algebra $\mathfrak{B}^L(M_L) \otimes \mathfrak{B}^R(M_R)$ and the state

$$\varphi = \varphi_{\beta_L}^L |_{\mathfrak{B}^L(M_L)} \otimes \varphi_{\beta_R}^R |_{\mathfrak{B}^R(M_R)} ;$$

φ is a stationary state, NESS but not KMS.

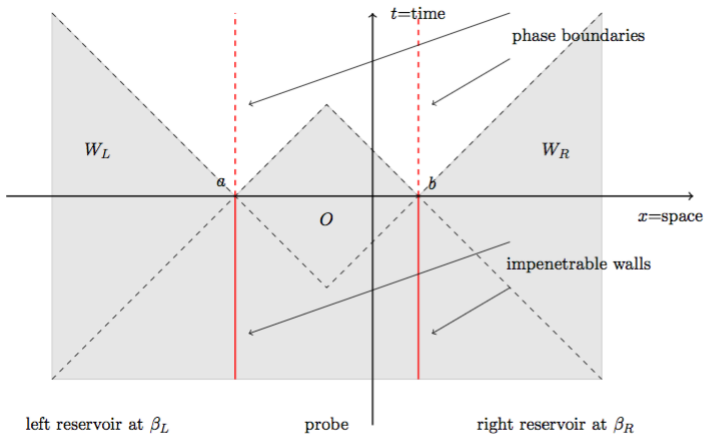


Figure 1: Spacetime diagram of our setup. The initial state ψ is set up in the shaded region before the system is in causal contact with the phase boundaries. In the shaded regions to the left/right of the probe, we have a thermal equilibrium state at inverse temperatures β_L/β_R . In the diamond shaped shaded region O , we have an essentially arbitrary probe state.

After contact.

At time $t = 0$ we put the two systems \mathcal{B}^L on M_L and \mathcal{B}^R on M_R in contact through a totally transmissible phase boundary and the time-axis the defect line. We are in the phase boundary case, with \mathcal{B}^L and \mathcal{B}^R now nets on M acting on a common Hilbert space \mathcal{H} . With $O_1 \subset M_L$, $O_2 \subset M_R$ double cones, the von Neumann algebras $\mathcal{B}^L(O_1)$ and $\mathcal{B}^R(O_2)$ commute if O_1 and O_2 are spacelike separated, so $\mathfrak{B}^L(W_L)$ and $\mathfrak{B}^R(W_R)$ commute.

We want to describe the state ψ of the global system after time $t = 0$. As above, we set

$$\mathcal{D}(O) \equiv \mathcal{B}^L(O) \vee \mathcal{B}^R(O)$$

The origin $\mathbf{0}$ is the only $t = 0$ point of the defect line; the observables localized in the causal complement $W_L \cup W_R$ of the $\mathbf{0}$ thus do not feel the effect of the contact, so ψ should be a natural state on \mathfrak{D} that satisfies

$$\psi|_{\mathfrak{B}^L(W_L)} = \varphi_{\beta_L}^L|_{\mathfrak{B}^L(W_L)}, \quad \psi|_{\mathfrak{B}^R(W_R)} = \varphi_{\beta_R}^R|_{\mathfrak{B}^R(W_R)} .$$

In particular, ψ is to be a *local thermal equilibrium state* on $W_{L/R}$ in the sense of Buchholz.

Since $\mathfrak{B}^L(M_L)$ and $\mathfrak{B}^R(M_R)$ are not independent, the existence of such state ψ is not obvious. Clearly the C^* -algebra on \mathcal{H} generated by $\mathfrak{B}^L(W_L)$ and $\mathfrak{B}^R(W_R)$ is naturally isomorphic to $\mathfrak{B}^L(W_L) \otimes \mathfrak{B}^R(W_R)$ ($\mathfrak{B}^L(W_L)''$ and $\mathfrak{B}^R(W_R)''$ are commuting factors) and the restriction of ψ to it is the product state $\varphi_{\beta_L}^L|_{\mathfrak{B}^L(W_L)} \otimes \varphi_{\beta_R}^R|_{\mathfrak{B}^R(W_R)}$.

Construction of the doubly scaling automorphism:

Let \mathcal{C} be a conformal net on \mathbb{R} . Given $\lambda_-, \lambda_+ > 0$, there exists an automorphism α of the C^* -algebra $\mathfrak{C}(\mathbb{R} \setminus \{0\})$ or $\mathfrak{D}(\check{M})$ such that

$$\alpha|_{\mathfrak{C}(-\infty,0)} = \delta_{\lambda_-} \quad , \quad \alpha|_{\mathfrak{C}(0,\infty)} = \delta_{\lambda_+} \quad ,$$

Then we construct an automorphism on the C^* -algebra $\mathfrak{D}(x \pm t \neq 0)$

$$\alpha|_{\mathfrak{D}(W_L)} = \delta_{\lambda_L}, \quad \alpha|_{\mathfrak{D}(W_R)} = \delta_{\lambda_R}.$$

where δ_λ is the λ -dilation automorphism of $\mathfrak{A}_\pm(\mathbb{R})$.

There exists a natural state $\psi \equiv \psi_{\beta_L, \beta_R}$ on $\mathfrak{D}(x \pm t \neq 0)$ such that $\psi|_{\mathfrak{B}(W_{L/R})}$ is $\varphi_{\beta_L/\beta_R}^{L/R}$.

The state ψ is given by $\psi \equiv \varphi \cdot \alpha_{\lambda_L, \lambda_R}$, where φ is the geometric state on \mathfrak{D} (at inverse temperature 1) and $\alpha = \alpha_{\lambda_L, \lambda_R}$ is the above automorphism with $\lambda_L = \beta_L^{-1}$, $\lambda_R = \beta_R^{-1}$.

It is convenient to extend the state ψ to a state on \mathfrak{D} by the Hahn-Banach theorem. *By inserting a probe ψ the state will be normal.*

The large time limit. Waiting a large time we expect the global system to reach a stationary state, a non equilibrium steady state. The two nets \mathcal{B}^L and \mathcal{B}^R both contain the same net $\mathcal{A} = \mathcal{A}_+ \otimes \mathcal{A}_-$. And the chiral net \mathcal{A}_\pm on \mathbb{R} contains the Virasoro net with central charge c_\pm . In particular \mathcal{B}^L and \mathcal{B}^R share the same stress energy tensor.

Let $\varphi_{\beta_L}^+$, $\varphi_{\beta_R}^-$ be the geometric KMS states respectively on \mathfrak{A}_+ and \mathfrak{A}_- with inverse temperature β_L and β_R ; we define

$$\omega \equiv \varphi_{\beta_L}^+ \otimes \varphi_{\beta_R}^- \cdot \varepsilon,$$

so ω is the state on \mathfrak{D} obtained by extending $\varphi_{\beta_L}^+ \otimes \varphi_{\beta_R}^-$ from \mathfrak{A} to \mathfrak{D} by the conditional natural expectation $\varepsilon : \mathfrak{D} \rightarrow \mathfrak{A}$. Clearly ω is a stationary state, indeed:

ω is a NESS on \mathfrak{D} with $\beta = \min\{\beta_L, \beta_R\}$.

We now want to show that the evolution $\psi \cdot \tau_t$ of the initial state ψ of the composite system approaches the non-equilibrium steady state ω as $t \rightarrow +\infty$.

Note that:

$$\psi|_{\mathcal{D}(O)} = \omega|_{\mathcal{D}(O)} \text{ if } O \in \mathcal{K}(V_+)$$

We have:

For every $Z \in \mathfrak{D}$ we have:

$$\lim_{t \rightarrow +\infty} \psi(\tau_t(Z)) = \omega(Z) .$$

Indeed, if $Z \in \mathcal{D}(O)$ with $O \in \mathcal{K}(M)$ and $t > t_O$, we have $\tau_t(Z) \in \mathfrak{D}(V_+)$ as said, so

$$\psi(\tau_t(Z)) = \omega(\tau_t(Z)) = \omega(Z) , \quad t > t_O ,$$

because of the stationarity property of ω .

See the picture.

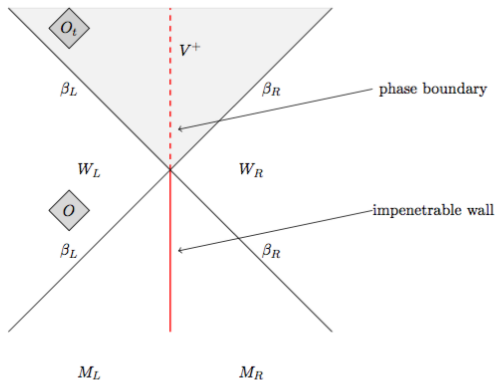


Figure 2: Spacetime diagram of simplified setup. There is just one phase boundary and no probe. Every time-translated diamond will eventually enter the future lightcone V^+ .

Case with chemical potential

We suppose here that \mathcal{A}_\pm in the net \mathcal{C} contains is generated by the $U(1)$ -current J^\pm (thus $\mathcal{B}^{L/R}$ is non rational with central charge $c = 1$).

Given $q \in \mathbb{R}$, the β -KMS state $\varphi_{\beta,q}$ on \mathfrak{D} with charge q is defined by

$$\varphi_{\beta,q} = \varphi_{\beta,q}^+ \otimes \varphi_{\beta,q}^- \cdot \varepsilon ,$$

where $\varphi_{\beta,q}^\pm$ is the KMS state on \mathcal{A}_\pm with charge q .

$\varphi_{\beta,q}$ satisfies the β -KMS condition on \mathfrak{D} w.r.t. to τ .

Similarly as above we have:

Given $\beta_{L/R} > 0$, $q_{L/R} \in \mathbb{R}$, there exists a state ψ on \mathfrak{D} such that

$$\psi|_{\mathfrak{B}^L(W_L)} = \varphi_{\beta_L, q_L}|_{\mathfrak{B}^L(W_L)} , \quad \psi|_{\mathfrak{B}^R(W_R)} = \varphi_{\beta_R, q_R}|_{\mathfrak{B}^R(W_R)} .$$

and for every $Z \in \mathfrak{D}$ we have:

$$\lim_{t \rightarrow +\infty} \psi(\tau_t(Z)) = \omega(Z) .$$

We can explicitly compute the expected value of the asymptotic NESS state ω on the stress energy tensor and on the current(chemical potential enters):

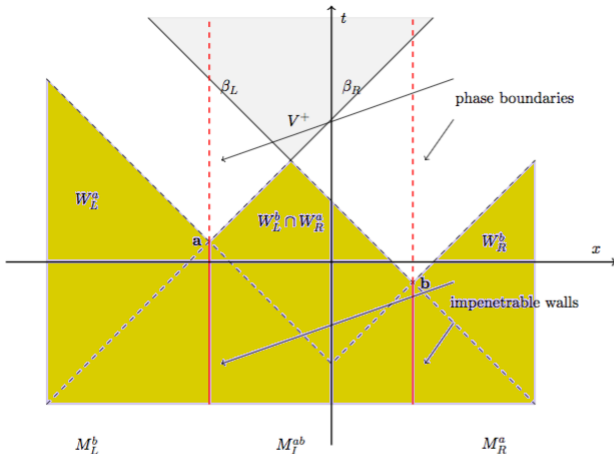


Figure 3: Spacetime diagram of our setup. The initial state ψ is set up in the shaded region before the system is in causal contact with the phase boundaries. In W_L^a resp. W_R^b , we have a thermal equilibrium state at inverse temperatures β_L resp. β_R . In the diamond shaped shaded region $W_L^b \cap W_R^a$, we have an essentially arbitrary probe state. Again, every time-translated causal diamond in this region will eventually enter V^+ .

Now $\omega = \varphi_{\beta_L, q_L}^+ \otimes \varphi_{\beta_R, q_R}^- \cdot \varepsilon$ is a steady state is a NESS and ω is determined uniquely by $\beta_{L/R}$ and the charges $q_{L/R}$

$$\varphi_{\beta_L, q_L}^+(J^+(0)) = q_L, \quad \varphi_{\beta_R, q_R}^-(J^-(0)) = q_R.$$

We also have

$$\varphi_{\beta_L, q_L}^+(T^+(0)) = \frac{\pi}{12\beta_L^2} + \frac{q_L^2}{2}, \quad \varphi_{\beta_R, q_R}^-(T^-(0)) = \frac{\pi}{12\beta_R^2} + \frac{q_R^2}{2}.$$

In presence of chemical potentials $\mu_{L/R} = \frac{1}{\pi} q_{L/R}$, the large time limit of the two dimensional current density expectation value (x -component of the current operator J^μ) in the state ψ is, with $J^x(t, x) = J^-(t+x) - J^+(t-x)$

$$\lim_{t \rightarrow +\infty} \psi(J^x(t, x)) = \varphi_{\beta_L, q_L}^-(J^-(0)) - \varphi_{\beta_R, q_R}^+(J^+(0)) = -\pi(\mu_L - \mu_R),$$

whereas on the stress energy tensor

$$\begin{aligned} \lim_{t \rightarrow +\infty} \psi(T_{tx}(t, x)) &= \varphi_{\beta_L, q_L}^+(T^+(0)) - \varphi_{\beta_R, q_R}^-(T^-(0)) \\ &= \frac{\pi}{12}(\beta_L^{-2} - \beta_R^{-2}) + \frac{\pi^2}{2}(\mu_L^2 - \mu_R^2), \end{aligned}$$

(cf. Bernard-Doyon)