

# Feynman Propagators in a Functional Analytic Setting

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# Introduction

Klein–Gordon operator (on half-densities):

$$K := |g|^{-\frac{1}{4}} (-i\partial_\mu - A_\mu) g^{\mu\nu} |g|^{\frac{1}{2}} (-i\partial_\nu - A_\nu) |g|^{-\frac{1}{4}} + Y$$

Diagram illustrating the components of the Klein–Gordon operator  $K$  on half-densities:

- $g^{\mu\nu}$ : Lorentzian metric
- $A_\mu$ : electromagnetic potential
- $Y$ : scalar potential

## Questions

1. Is  $K$  **essentially self-adjoint** on  $C_c^\infty(M)$  with respect to  $L^2(M)$ ?
2. Does the **resolvent limit**  $\lim_{\varepsilon \rightarrow 0^+} (K - i\varepsilon)^{-1}$  exist (in some sense)?

## Answer for static spacetimes [Dereziński–Siemssen '17]

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If  $(M, g)$  is standard static and globally hyperbolic, then  $K$  is essen-

# Motivation – “I never claimed that this is interesting”

- Natural questions for any partial differential operator
- Powerful functional calculus for self-adjoint operators
- Make rigorous sense of heat kernel methods

$$(K - i0^+)^{-1} = i \int_0^\infty e^{-is(K-i0^+)} ds$$

to define in-out Feynman propagators [Schwinger '51, DeWitt '65, DeWitt '75, Rumpf–Urbantka '78, Rumpf '80]

- Lorentzian spectral geometry? (see also [Bär–Strohmaier '15])

## Fredholm properties ...

- ... for the wave operator in asymptotically flat spacetimes  
[Gell-Redman–Haber–Vasy '16]
- ... for the Klein–Gordon operator in asymptotically flat spacetimes  
[Gérard–Wrochna '16]

## Self-adjointness and limiting absorption principle ...

- ... for the Klein–Gordon operator in static spacetimes  
[Dereziński–Siemssen '17]
- ... for the wave operator in asymptotically flat spacetimes [Vasy '17]

# Methods and Formalism

# Hamiltonian formalism

Suppose, for example, that  $M = \mathbf{R} \times \Sigma$  with metric

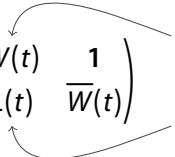
$$g = -dt^2 + g_\Sigma$$

$g_\Sigma(t)$  restricts to Riemannian metric on  $\Sigma$  

Introduce  $B(t) := \begin{pmatrix} W(t) & \mathbf{1} \\ L(t) & \overline{W}(t) \end{pmatrix}$

$W := -A_0 + \frac{i}{4}|g|^{-1}\partial_t|g|$

$L := |g|^{\frac{1}{4}}(-i\partial_i - A_i)g^{ij}|g|^{\frac{1}{2}}(-i\partial_j - A_j)|g|^{-\frac{1}{4}} + Y$



Note that  $(\partial_t + iB) \begin{pmatrix} u \\ (\partial_t + iW)u \end{pmatrix} = \begin{pmatrix} 0 \\ Ku \end{pmatrix}$

$\mathcal{H}_{\text{en}}, \mathcal{H}_{\text{en}^*}$  are **Hilbertizable spaces** such that  $\mathcal{H}_{\text{en}} \subset \mathcal{H}_{\text{en}^*}$  continuously.

Introduce a bounded Hermitian form, the **charge form**,

$$\mathcal{H}_{\text{en}} \times \mathcal{H}_{\text{en}^*} \ni (u, v) \mapsto (u|Qv) \in \mathbb{C}$$

Suppose that  $B(t)$  is **bounded and invertible** from  $\mathcal{H}_{\text{en}}$  to  $\mathcal{H}_{\text{en}^*}$  such that

$$\begin{aligned}(u|v)_{\text{en},t} &:= (B(t)u|Qv) = (u|QB(t)v) \\ (u|v)_{\text{en}^*,t} &:= (B(t)^{-1}u|Qv) = (u|QB(t)^{-1}v)\end{aligned}$$

are compatible with  $\mathcal{H}_{\text{en}}, \mathcal{H}_{\text{en}^*}$ . This yields **Hilbert spaces**  $\mathcal{H}_{\text{en},t}, \mathcal{H}_{\text{en}^*,t}$ .

$B(t)$  is a **self-adjoint** operator on  $\mathcal{H}_{\text{en}^*,t}$  with domain  $\mathcal{H}_{\text{en}}$ .

# Scales of Hilbert spaces

We can construct a whole **scale of Hilbert spaces**:

$$\begin{aligned}\mathcal{H}_{\alpha,t} &:= |B(t)|^{(1-\alpha)/2} \mathcal{H}_{\text{en},t} = |B(t)|^{(-1-\alpha)/2} \mathcal{H}_{\text{en}^*,t} \\ (u|v)_{\alpha,t} &:= (u||B(t)|^{-1+\alpha}v)_{\text{en},t} = (u||B(t)|^{1+\alpha}v)_{\text{en}^*,t}\end{aligned}$$

For  $\alpha \in [-1, 1]$  their topology is independent of  $t$ .

The central space is  $\mathcal{H}_{\text{dyn},t} = \mathcal{H}_{0,t}$ . We call it the **dynamical space**, and denote the corresponding Hilbertizable space  $\mathcal{H}_{\text{dyn}}$ .

The charge form is best understood on the dynamical space:

$$\mathcal{H}_{\text{dyn}} \times \mathcal{H}_{\text{dyn}} \ni (u, v) \mapsto (u|Qv) \in \mathbb{C}$$



Suppose that  $t \mapsto B(t)$  is continuous in  $B(\mathcal{H}_{\text{en}}, \mathcal{H}_{\text{en}}^*)$  and

$$\|u\|_{\text{en},s} \leq \|u\|_{\text{en},t} \exp \left| \int_s^t C(r) dr \right| \quad \text{with} \quad C \in L_{\text{loc}}^1(\mathbf{R}), C \geq 0.$$

We apply the theory of **non-autonomous evolution equations** to find:

## Theorem

There is a unique family of bounded operators  $\{R(t, s)\}_{s, t \in \mathbf{R}}$  in  $\mathcal{H}_{\text{en}}^*$  with the following properties (among several others):

- 1)  $R(t, t) = \mathbf{1}$ ,  $R(t, r)R(r, s) = R(t, s)$
- 2)  $R(t, s)\mathcal{H}_{\text{en}} \subset \mathcal{H}_{\text{en}}$
- 3)  $i\partial_t R(t, s)u = +B(t)R(t, s)u$  for  $u \in \mathcal{H}_{\text{en}}$
- 4)  $i\partial_s R(t, s)u = -R(t, s)B(s)u$  for  $u \in \mathcal{H}_{\text{en}}$

# Classical propagators

Given  $R(t, s)$ , we can write the **kernels for the classical propagators**:

$$E^{\text{PJ}}(t, s) := R(t, s) \quad (\text{Pauli-Jordan propagator})$$

$$E^{\vee}(t, s) := \theta(t - s)R(t, s) \quad (\text{retarded propagator})$$

$$E^{\wedge}(t, s) := -\theta(s - t)R(t, s) \quad (\text{advanced propagator})$$

The corresponding propagators are given by

$$(E^{\bullet}f)(t) = \int_{\mathbf{R}} E^{\bullet}(t, s)f(s) ds$$

They can be understood as **bisolutions** resp. **inverses** between various spaces. For example,

$$(\partial_t + iB)E^{\text{PJ}}f = 0, \quad f \in L_c^1(\mathbf{R}; \mathcal{H}_{\text{en}})$$

$$E^{\text{PJ}}(\partial_t + iB)f = 0, \quad f \in L_c^1(\mathbf{R}; \mathcal{H}_{\text{en}}) \cap AC_c(\mathbf{R}; \mathcal{H}_{\text{en}}^*)$$

# Involutions

We call  $S$  an **admissible involution** if  $S^2 = \mathbf{1}$  and

$$(u|v)_S = (u|Q_S v) = (S u|Q v)$$

defines a scalar product compatible with  $\mathcal{H}_{\text{dyn}}$ .

Note that, to each  $S$  are associated **two projections**

$$\Pi^{(\pm)} := \frac{1}{2}(\mathbf{1} \pm S)$$

An example of an admissible involution is

$$S(t) = \text{sgn } B(t) = B(t)|B(t)|^{-1}$$

The corresponding projections are  $\Pi_t^{(\pm)} = \mathbf{1}_{[0, \infty)}(\pm B(t))$

# Non-classical propagators

Given  $R(t, s)$ , an admissible involution  $S$  and any  $\tau \in \mathbf{R}$ , we can write **kernels for non-classical propagators**:

$$E_{\tau}^{(+)}(t, s) := R(t, \tau)\Pi^{(+)}R(\tau, s) \quad (\text{positive frequency bisol.})$$

$$E_{\tau}^{(-)}(t, s) := -R(t, \tau)\Pi^{(-)}R(\tau, s) \quad (\text{negative frequency bisol.})$$

$$E_{\tau}^{\text{F}}(t, s) := \theta(t - s)E_{\tau}^{(+)}(t, s) + \theta(s - t)E_{\tau}^{(-)}(t, s) \quad (\text{Feynman propagator})$$

$$E_{\tau}^{\bar{\text{F}}}(t, s) := \theta(t - s)E_{\tau}^{(-)}(t, s) + \theta(s - t)E_{\tau}^{(+)}(t, s) \quad (\text{anti-Feynman propagator})$$

Again, they can be understood as **bisolutions** resp. **inverses** between various spaces. Moreover, the following relations hold

$$E_{\tau}^{\text{F}} = E^{\wedge} + E_{\tau}^{(+)} = E^{\vee} + E_{\tau}^{(-)}, \quad E_{\tau}^{\text{F}} + E_{\tau}^{\bar{\text{F}}} = E^{\vee} + E^{\wedge}, \quad E_{\tau}^{(+)} - E_{\tau}^{(-)} = E^{\text{PJ}},$$

$$E_{\tau}^{\bar{\text{F}}} = E^{\vee} - E_{\tau}^{(+)} = E^{\wedge} - E_{\tau}^{(-)}, \quad E_{\tau}^{\text{F}} - E_{\tau}^{\bar{\text{F}}} = E_{\tau}^{(+)} + E_{\tau}^{(-)} \quad E^{\vee} - E^{\wedge} = E^{\text{PJ}}.$$

## Theorem

Let  $\Pi_1^{(+)}, \Pi_2^{(+)}$  be **projections** on  $\mathcal{H}$ . Set  $\Pi_{\bullet}^{(-)} = \mathbf{1} - \Pi_{\bullet}^{(+)}$ . Suppose that

$$\Upsilon = \mathbf{1} - (\Pi_1^{(+)} - \Pi_2^{(+)})^2$$

is **invertible**. Then  $\text{Ran } \Pi_1^{(+)}$  and  $\text{Ran } \Pi_2^{(-)}$  are **complementary**, i.e.

$$\text{Ran } \Pi_1^{(+)} \cap \text{Ran } \Pi_2^{(-)} = \{0\}, \quad \text{Ran } \Pi_1^{(+)} + \text{Ran } \Pi_2^{(-)} = \mathcal{H}.$$

Moreover,

$$\Lambda^{(+)} = \Pi_1^{(+)} \Upsilon^{-1} \Pi_2^{(+)} \quad (\text{projection onto } \text{Ran } \Pi_1^{(+)} \text{ along } \text{Ran } \Pi_2^{(-)})$$

$$\Lambda^{(-)} = \Pi_2^{(-)} \Upsilon^{-1} \Pi_1^{(-)} \quad (\text{projection onto } \text{Ran } \Pi_2^{(-)} \text{ along } \text{Ran } \Pi_1^{(+)})$$

are **complementary projections**, i.e.  $\Lambda^{(+)} + \Lambda^{(-)} = \mathbf{1}$ .

## Theorem

Let  $S_1, S_2$  be **admissible involutions** (with associated projections  $\Pi_{\bullet}^{(\pm)}$ ).  
Then  $Y = \mathbf{1} - (\Pi_1^{(+)} - \Pi_2^{(+)})^2$  is **invertible**.

**Proof.** First we prove the identity  $Y = (\Pi_1^{(-)} + \Pi_2^{(+)}) (\Pi_2^{(-)} + \Pi_1^{(+)})$ . Next we set

$$c = \Pi_1^{(+)} (\mathbf{1} - S_2 S_1) (\mathbf{1} + S_2 S_1)^{-1} \Pi_1^{(-)}$$

and show that  $\|c\|_{S_1} < 1$ . Wrt. the decomposition  $\mathcal{H}_{\text{dyn}} = \text{Ran } \Pi_1^{(+)} \oplus \text{Ran } \Pi_1^{(-)}$  we find

$$\Pi_1^{(-)} = \begin{pmatrix} 0 & 0 \\ 0 & \mathbf{1} \end{pmatrix}, \quad \Pi_2^{(+)} = \begin{pmatrix} (\mathbf{1} - cc^*)^{-1} & c(\mathbf{1} - c^*c)^{-1} \\ -c^*(\mathbf{1} - cc^*)^{-1} & -c^*c(\mathbf{1} - c^*c)^{-1} \end{pmatrix}.$$

Therefore we can directly compute the inverse:

$$(\Pi_1^{(-)} + \Pi_2^{(+)})^{-1} = \begin{pmatrix} \mathbf{1} - 2cc^* & -c \\ c^* & \mathbf{1} \end{pmatrix}.$$

# In/out positive/negative frequency bisolutions

Fix two times  $t_+, t_- \in \mathbb{R}$  and define (admissible involutions)

$$S_{\pm} := \operatorname{sgn} B(t_{\pm}) = B(t_{\pm})|B(t_{\pm})|^{-1}$$

We define **time-evolved projections**

$$\Pi_{\pm}^{(+)}(t) := R(t, t_{\pm})\Pi_{\pm}^{(+)}R(t_{\pm}, t)$$

$$\Pi_{\pm}^{(-)}(t) := R(t, t_{\pm})\Pi_{\pm}^{(-)}R(t_{\pm}, t)$$

From this we can define **in/out positive/negative frequency bisolutions**:

$$E_{\pm}^{(+)}(t, s) := R(t, s)\Pi_{\pm}^{(+)}(s)$$

$$E_{\pm}^{(-)}(t, s) := -R(t, t_{\pm})\Pi_{\pm}^{(-)}(s)$$

Often one would take the limit  $t_{\pm} \rightarrow \pm\infty$ .

# In-out Feynman propagator

Since  $\Upsilon(t) = \mathbf{1} - (\Pi_-^{(+)}(t) - \Pi_+^{(+)}(t))^2$  is invertible, we obtain

$$\Lambda^{(+)}(t) := \Pi_-^{(+)}(t)\Upsilon(t)^{-1}\Pi_+^{(+)}(t)$$

$$\Lambda^{(-)}(t) := \Pi_+^{(-)}(t)\Upsilon(t)^{-1}\Pi_-^{(-)}(t)$$

Therefore we can define the **in-out Feynman propagator**

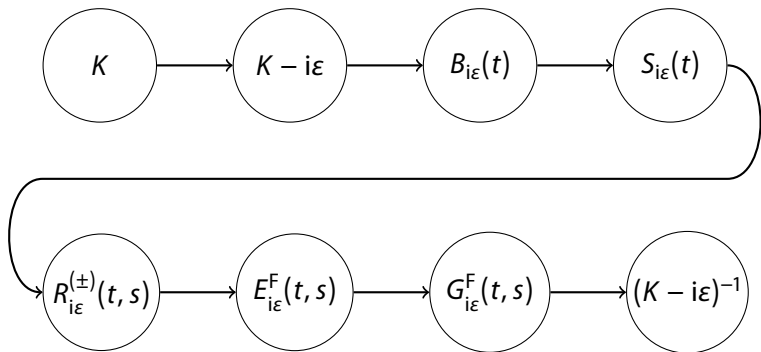
$$E^F(t, s) := \theta(t - s)R(t, s)\Lambda^{(+)}(s) + \theta(s - t)R(t, s)\Lambda^{(-)}(s)$$

**NB:** This Feynman propagator is generally not associated to a single state!

Taking the limit  $t_{\pm} \rightarrow \pm\infty$ , we **conjecture** that this kernel yields the same Feynman propagator as obtained from the resolvent limit (if it exists).



# Proving the conjecture?



- The Klein–Gordon operator is essentially self-adjoint in many cases
- The resolvent limit yields a ‘distinguished’ Feynman propagator
- In cases where the Klein–Gordon operator is not essentially self-adjoint, maybe one can construct a distinguished self-adjoint extension